UNITED STATES OF AMERICA DEPARTMENT OF TRANSPORTATION FEDERAL AVIATION ADMINISTRATION

# Air Agency Certificate

Number JGV3194F

This certificate is issued to

Duncan Aviation, Inc. dba Duncan Avionics whose business address is 900 Great Meadow Road Stratford, Connecticut 06615

upon finding that its organization complies in all respects with the requirements of the Federal Aviation Regulations relating to the establishment of an Air Agency, and is empowered to operate an approved SATELLITE REPAIR STATION

with the following ratings:

Radio Limited Airframe (02/27/1993) Limited Accessory (03/29/1993) Limited Instrument (11/14/2016)

This certificate, unless canceled, suspended, or revoked,

shall continue in effect indefinitely

Date issued:

February 27, 1992 Reissued: November 14, 2016

By direction of the Administrator Feliciano ohn

Manager, EA-FSDO-63

This Certificate is not Transferable, and any major change in the basic facilities, or in the location thereof, Shall be immediately reported to the appropriate regional office of the federal aviation administration

Any alteration of this certificate is punishable by a fine of not exceeding \$1,000, or imprisonment not exceeding 3 years, or both

FAA Form 8000-4 (1-67)

SUPERSEDES FAA FORM 390.

Electronic Forms (PDF)

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### A001 . Issuance and Applicability

### HQ Control: 02/11/2016 HQ Revision: 060

a. These operations specifications are issued to Duncan Aviation, Inc., a Repair Station located in the United States, pursuant to 14 CFR Part 145, § 145.53. The repair station certificate holder shall conduct operations in accordance with 14 CFR Part 145 and these operations specifications.

The certificate holder's address:

Fixed Location: 900 Great Meadow Road Stratford, Connecticut 06615

b. The holder of these operations specifications is the holder of certificate number JGV3194F and shall hereafter be referred to as the "certificate holder".

c. These operations specifications are issued as part of this repair station certificate and are in effect as of the date approval is effective. This certificate and these operations specifications shall remain in effect until the certificate for a repair station that is located in the United States is surrendered, suspended, or revoked.

d. U.S./EU Aviation Safety Agreement Repair Station/Maintenance Organization Approvals not authorized.

e. The certificate holder is authorized to conduct the operations described in subparagraph a under the following other business names:

Duncan Avionics Delegated authorities: None

**Operations Specifications** 

1. Issued by the Federal Aviation Administration.

2. These Operations Specifications are approved by direction of the Administrator.



Digitally signed by Steven L. Levine, Principal Maintenance Inspector (EA63) [1] SUPPORT INFO: Reissued paragraph in accordance with FAA Notice 8900.341 effective date 1/19/2016. [2] EFFECTIVE DATE: 2/16/2016, [3] AMENDMENT #: 11 DATE: 2016.02.16 07:09:43 -06:00

3. I hereby accept and receive the Operations Specifications in this paragraph.

Nelson, Matthew Q., FAA Accountable Manager, 145 Date

### A002 . Definitions and Abbreviations

HQ Control: 02/11/2016 HQ Revision: 05c

Unless otherwise defined in these operations specifications, all words, phrases, definitions, and abbreviations have identical meanings to those used in 14 CFR and 49 U.S.C., as cited in Public Law 103-272, as amended. Additionally, the definitions listed below are applicable to operations conducted in accordance with these operations specifications.

BASA	The Bilateral Aviation Safety Agreement (BASA) is an executive agreement concluded between the United States and a foreign country for the purpose of promoting aviation safety; also known as an Agreement for the Promotion of Aviation Safety.
Certificate Holder	In these operations specifications, the term "certificate holder" means the holder of the repair station certificate described in these operations specifications in Part A paragraph A001 and any of its officers, employees, or agents used in the conduct of operations under this certificate.
CFR	Code of Federal Regulations
Class rating	As used with respect to the certification, ratings, privileges, and limitation of aircraft within a category having similar operating characteristics.
EASA	European Aviation Safety Agency
EASA Accountable Manager	The manager who has corporate authority for ensuring that all maintenance required by the customer can be financed and carried out to the standard required by the EASA full-member Authority.
EU	European Union
Exemption	An authorization that permits an alternate means of compliance with a specific CFR. The exemption must meet the procedural requirements of 14 CFR Part 11.
FOCA	Federal Office of Civil Aviation
FAA Accountable Manager	A person designated by the certificated repair station who is responsible for and has authority over all repair station operations that are conducted under 14 CFR Part 145, including ensuring that the repair station's personnel follow the regulations and serving as the primary contact with the FAA.
Geographic	An approval provided to a repair station located outside the United

U.S. Department of Transportation Federal Aviation Administration	Operations Specifications
Authorization	States to perform maintenance support under contract for a U.S. air carrier (or an operator of U.S registered aircraft under 14 CFR Part 129) at a location other than the repair station facility. A geographic authorization is issued by the FAA to respond to a U.S. air carrier's or Part 129 foreign operator's need for maintenance at a station where the frequency and scope of that maintenance does not warrant permanently staffing and equipping the station for its accomplishment.
Limited Rating	A rating issued to repair stations for the performance of maintenance on particular makes and models of airframes, powerplants, propellers, radios, instruments, accessories, and/or parts.
Limited Ratings - Specialized Services	Rating issued for a special maintenance function when the function is performed in accordance with a specification approved by the Administrator.
Line Maintenance	Any unscheduled maintenance resulting from unforeseen events, or scheduled checks where certain servicing and/or inspections do not require specialized training, equipment, or facilities.
MAG	The Maintenance Annex Guidance (MAG) defines the process that the FAA and EASA undertake in the inspection, findings of compliance certification, and monitoring of repair stations, as well as their joint cooperation in quality assurance and standardization activities in support of the Agreement, Annex 2, Maintenance.
Maintenance	The inspection, overhaul, repair, preservation, and replacement of parts, but excludes preventive maintenance.
U.S./EU Aviation Safety Agreement, Annex 2, Maintenance	Annex 2 covers the reciprocal acceptance of findings of compliance, approvals, documentation and technical assistance regarding approvals and the monitoring of repair stations/maintenance organizations.
MIP	Maintenance Implementation Procedures (MIP) are procedures for implementing the provisions of a BASA that apply to maintenance.
ΜΟΕ	A maintenance organization exposition (MOE) pertains to EASA member countries that use an MOE in place of a Repair Station Manual (RSM) and a Quality Control Manual (QCM).
Preventive Maintenance	As defined in 14 CFR part 1 and part 43 appendix A, subparagraph (c).
QCM	Quality Control Manual
Repair Station located in the United States	A certificated repair station located in the United States.

U.S. Department of Transportation Federal Aviation Administration	Operations Specifications
Repair Station located outside the United States	A certificated repair station located outside of the United States.

RSM

Repair Station Manual

1. Issued by the Federal Aviation Administration.

2. These Operations Specifications are approved by direction of the Administrator.



Digitally signed by Steven L. Levine, Principal Maintenance Inspector (EA63) [1] SUPPORT INFO: Reissued paragraph in accordance with FAA Notice 8900.341 effective date 1/19/2016. [2] EFFECTIVE DATE: 2/16/2016, [3] AMENDMENT #: 7 DATE: 2016.02.16 07:13:05 -06:00

3. I hereby accept and receive the Operations Specifications in this paragraph.

Nelson, Matthew Q., FAA Accountable Manager, 145 Date

### A003 . <u>Ratings and Limitations</u>

HQ Control: 01/30/2004 HQ Revision: 010

The Certificate Holder is authorized the following Ratings and/or Limitations:

### **Class Ratings**

Radio Class 1: Communications Equipment Radio Class 2: Navigational Equipment Radio Class 3: Radar Equipment

### **Limited Ratings**

<b>Rating</b>	<u>Manufacturer</u>	<u>Make/Model</u>	<b>Limitations</b>
Airframe	From the accepted Capability List, as amended.	From the accepted Capability List, as amended.	Limited to diagnosis, calibration, adjustments, removal, replacement and installation of radios, instruments and instrument systems, including instrument panels, radio antennas and wiring as defined in Title 14 CFR145.59(d) and (e).
			NOTE: This excludes any adjustments of instruments not specified in the aircraft manufacturer's maintenance instructions or other instructions for continued airworthiness.
			Diagnosis, removal and replacement of cabin entertainment, cabin lighting, and cabin convenience items.
			Perform RVSM inspections and RVSM systems certification. The work must be performed in accordance with an FAA approved RVSM maintenance program or any FAA approved RVSM maintenance program contained in any Title 14 CFR 91.409(f) inspection program.
			Altimeter system(s) and altitude reporting equipment tests and inspections required by Title 14 CFR 91.411.
			Non-RVSM certificated aircraft: The tests and inspections shall be performed using 14 CFR 43, Appendix E, or the manufacturer's current maintenance instructions.
			RVSM certificated aircraft: FAA approved RVSM maintenance program is required.

of Transportation Federal Aviation Administration		Operations Specifications		
<u>Rating</u>	<u>Manufacturer</u>	<u>Make/Model</u>	Limitations	
Airframe	All (12,500 lbs MGTOW or less per TCDS)	All	Limited to aircraft that weigh 12,500 lbs maximum gross take-off weight (MGTOW) or less per the Type Certificate Data Sheet. Limited to the following:	
			Diagnosis, calibration, adjustments, removal, replacement and installation of radios, instruments and instrument systems, including instrument panels, radio antennas and wiring as defined in Title 14 CFR145.59(d) and (e).	
			NOTE: This excludes any adjustments of instruments not specified in the aircraft manufacturer's maintenance instructions or other instructions for continued airworthiness.	
			Diagnosis, removal and replacement of cabin entertainment, cabin lighting, and cabin convenience items.	
			Perform RVSM inspections and RVSM systems certification. The work must be performed in accordance with an FAA approved RVSM maintenance program or any FAA approved RVSM maintenance program contained in any Title 14 CFR 91.409(f) inspection program.	
			Altimeter system(s) and altitude reporting equipment tests and inspections required by Title 14 CFR 91.411.	
			Non-RVSM certificated aircraft: The tests and inspections shall be performed using 14 CFR 43, Appendix E, or the manufacturer's current maintenance instructions.	
			RVSM certificated aircraft: FAA approved RVSM maintenance program is required.	
Accessories Electrical	<ul> <li>From the accepted Capability List, as amended.</li> </ul>	From the accepted Capability List, as amended.	Limited to removal, replacement, repair, servicing and testing.	
Instruments	Aerosonic, United Kollsman/IDC Honeywell, Collins, Bendix/ King, Smith Industries, Thales, Jaeger, Thompson Sextant	Altimeters	Instrument maintenance and preventive maintenance limited to externally accessible components not requiring instrument disassembly.	

### **Limited Ratings - Specialized Services**

U.S. Department of Transportation Federal Aviation Administration	Operations Specifications	
<b><u>Rating</u></b> None Authorized.	Specifications	<u>Limitations</u>

1. The Certificate Holder applies for the Operations in this paragraph.

2. These Operations Specifications are approved by direction of the Administrator.

3. I hereby accept and receive the Operations Specifications in this paragraph.

#### A004 . <u>Summary of Special Authorizations and Limitations</u> HQ Control: 09/23/1998 HQ Revision: 010

### a. The certificate holder, in accordance with the reference paragraphs, is authorized to:

	Reference Paragraphs
Use an approved electronic recordkeeping system, electronic/digital signature, and/or electronic media.	A025
Perform work, including continuous operations, at additional locations other than at its primary fixed location.	A101
Conduct operations choosing to have an antidrug and alcohol misuse prevention program.	A449
Perform work, excluding continuous operations, at additional locations other than at its primary Fixed Location.	D100

### b. The certificate holder is not authorized and shall not:

	Reference
	Paragraphs
Use Exemptions.	A005
Perform maintenance with EASA ratings where the scope of work is authorized by a BASA/MAG (U.SEU), MIP/MaG (U.SSwiss).	A060
Perform maintenance in accordance with foreign repair station geographic authorizations.	B050
Perform line maintenance for cert. holders conducting operations under Parts 121 and 135 and for foreign carriers/persons operating non-U.S. registered aircraft in common carriage under Part 129, apart from D100 which authorizes that work away from station.	D107

**Operations Specifications** 

1. The Certificate Holder applies for the Operations in this paragraph.

2. These Operations Specifications are approved by direction of the Administrator.



Digitally signed by Thomas G Bezanson, Principal Maintenance Inspector (EA63) [1] SUPPORT INFO: Amended to include reference to the Swiss MaG/MIP in the paragraph title for A060. [2] EFFECTIVE DATE: 11/10/2016, [3] AMENDMENT #: 6 DATE: 2016.11.10 07:33:02 -06:00

3. I hereby accept and receive the Operations Specifications in this paragraph.

Digitally signed by Jeffrey L King, Chief Inspector, Components/Satellites [1] SUPPORT INFO: Added Limited Instrument Rating DATE: 2016.11.10 08:51:32 -06:00

U.S. Department of Transportation Federal Aviation Administration	Operations Specifications			
A007. Designated Persons		HQ Control: HQ Revision:	12/19/06 030	

a. The personnel listed in the following table are designated to officially apply for and receive operations specifications for the certificate holder indicated below.

Dable 1	Designated	Persons to	Apply for	and Receive	Authorizations

Title	Name	Parts Authorized
Manager of Regulatory Compliance	Mertens, Michael R.	A,D
Chief Inspector,	King, leffrey L.	_ A,D
Components/Satellites FAA Accountable Manager, 145	Nelson, Matthew Q.	A,D

b. The following personnel listed in Table 2 are designated by the certificate holder to receive Information for Operators (INFO) messages for the certificate holder as indicated below. A receipt for the information by an operator or person is not required.

Name	Email Address	Telephone No.	Type of Information to Receive
Mertens, Michael R.	mike.mertens@duncanaviatio	(402) 475-2611	ALL
King, Jeffrey L.	n.com jeff_king@duncanaviation.co m	(402) 475-2611	ALL

### Table 2 - Designated to Receive INFO Messages

1. The Certificate Holder applies for the Operations in this paragraph.

2. Support information reference: Air Agency's letter dated July 30, 2007.

3 These Operations Specifications are approved by direction of the Administrator.

Date Approval is effective: 8/2/07

Principal Avionics Inspector

CE09 Amendment Number: 5

Date: 8/2/07

I hereby accept and receive the Operations Specifications in this paragraph.

Jeffi'ev I

Chief Inspector, Components/Satellites

Print Date: 8/2/2007

A007-1 Duncan Aviation, Inc. Certificate No.: JGV3194F

**Operations** Specifications

#### A025 . <u>Electronic/Digital Recordkeeping System</u>, Electronic/Digital Signature, and Electronic Media

HQ Control: 11/16/2004 HO Revision: 00a

a. The certificate holder is authorized to use an approved electronic/digital recordkeeping system, described and/or referenced in this paragraph (if none, enter N/A.)

Electronic recordkeeping system as referenced in the Duncan Aviation Repair Station General Operating Manual (RSGOM).

b. The certificate holder is authorized the use of the following electronic/digital signature procedures (if none, enter "N/A").

Electronic/Digital Signature System (ESO) procedures as outlined in the Duncan Aviation RSGOM.

c. The certificate holder is authorized to use electronic media for the repair station and quality control manuals, if acceptable (if none, enter "N/A").

An electronic copy of the RSGOM shall be posted within five working days as referenced in the Duncan Aviation RSGOM.

1. Issued by the Federal Aviation Administration .

2. Support information reference:

3. These Operations Specifications are approved by direction of the Administrator.



2010.06.18 15:24:46 Central Daylight Time Location: WebOPSS Digitally signed by Marlyn P Beisner, Principal Avionics Inspector (CE09)

 4, Date Approval is effective: 06/18/2010
 Amendment Number: 2

 5. J hereby accept and receive the Operations Specifications in this paragraph.

King, Jeffrey L., Chief Inspector, Components/Satellites

Date: 06/18/2010

Print Date: 6/18/2010

A025-1 Duncan Aviation, Inc. Certificate No.: JGV3194F

**Operations Specifications** 

#### A101 . Additional Fixed Locations

HQ Control: 11/16/2004 HQ Revision: 010

a. The certificate holder may perform work at the following additional fixed location(s) listed in Table 1, provided it has the facilities, material, equipment and technical personnel to perform the work authorized :

Additional Location Address(es)				
Address	City	State	Country	Postal Code
Hangar Road	White Plains	New York	UNITED STATES	10604

b. This authorizes the certificate holder to use multiple locations to perform its operations under a single certificate.

c. This authorization does not constitute a geographic authorization for work performed at another location.

d. The certificate holder may perform continuous operations at the facilities listed in Table 1 above.

e. Privileges of a line station, as set forth by any EASA line station rating, are authorized at these locations, as applicable.

f. All the authorizations and exemptions authorized for the certificate holder apply at these locations, as applicable.

1. The Certificate Holder applies for the Operations in this paragraph.

2. These Operations Specifications are approved by direction of the Administrator.



Digitally signed by Larry Becherer, Principal Maintenance Inspector (CE09) [1] SUPPORT INFO: FAA Form 8310-3, Duncan Aviation letter dated September 20, 2012 and AFS-300 Memorandum dated October 22, 2012. [2] EFFECTIVE DATE: 11/21/2012, [3] AMENDMENT #: 0 DATE: 2012.11.21 13:19:31 -06:00

3. I hereby accept and receive the Operations Specifications in this paragraph.

11-21-12

King, Jeffrey W, Chief Inspector, Components/Satellites Date

#### A449 . Antidrug and Alcohol Misuse Prevention Program HQ Control: 07/17/2009 **HO Revision:** 00a

- The Part 145 repair station certificate holder has elected to implement an Antidrug and a. Alcohol Misuse Prevention Program, because the certificate holder performs safety-sensitive functions for a 14 CFR Part 121, and 135 certificate holder and/or for a 14 CFR Part 91 operator conducting operations under Section 91.147.
- The certificate holder certifies that it will comply with the requirements of 14 CFR Part 120 b. and 49 CFR Part 40 for its Antidrug and Alcohol Misuse Prevention Program.
- Antidrug and Alcohol Misuse Prevention Program records are maintained and available for c. inspection by the FAA's Drug Abatement Compliance and Enforcement Inspectors at the location listed in Table 1 below:

Table 1			
	Location & Telephone of Antidrug and Alcohol Misuse Prevention Program Records:		
Telephone Number:	A3: JGVA		
Address:			
Address:			
City:			
State:			
Zip code:			

Table	1
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#### d. Limitations and Provisions.

- (1) Antidrug and Alcohol Misuse Prevention Program inspections and enforcement activity will be conducted by the Drug Abatement Division. Questions regarding these programs should be directed to the Drug Abatement Division.
- (2) The certificate holder is responsible for updating this operations specification when any of the following changes occur:
  - (a) Location or phone number where the Antidrug and Alcohol Misuse Prevention Program Records are kept.
  - (b) If the certificate holder's number of safety-sensitive employees goes to 50 and above, or falls below 50 safety-sensitive employees.
- (3) The certificate holder with 50 or more employees performing a safety-sensitive function on January 1 of the calendar year must submit an annual report to the Drug Abatement Division of the FAA.
- (4) The certificate holder with fewer than 50 employees performing a safety-sensitive function on January 1 of any calendar year must submit an annual report upon request of the Administrator, as specified in the regulations.

The certificate holder has fewer than 50 safety-sensitive employees.

**Operations Specifications** 

1. The Certificate Holder applies for the Operations in this paragraph.

2. These Operations Specifications are approved by direction of the Administrator.



Digitally signed by Thomas G Bezanson, Principal Maintenance Inspector (EA63) [1] SUPPORT INFO: Amended to correct the information in the telephone number block of Table 1. [2] EFFECTIVE DATE: 11/10/2016, [3] AMENDMENT #: 7 DATE: 2016.11.10 07:36:36 -06:00

3. I hereby accept and receive the Operations Specifications in this paragraph.

Digitally signed by Jeffrey L King, Chief Inspector, Components/Satellites [1] SUPPORT INFO: Added Limited Instrument Rating DATE: 2016.11.10 08:51:33 -06:00

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Part D

	HQ CONTROL	EFFECTIVE	AMENDMENT
	DATE	DATE	NUMBER
Work to be Performed at a Place Other Than the Repair Station Fixed Location(s)	11/16/2004	11/10/2016	6

## D100 . Work to be Performed at a Place Other Than the RepairHQ Control:11/16/2004Station Fixed Location(s)HQ Revision:050

a. The certificate holder may perform work at a place other than its Fixed Location (as listed in paragraph A001, and paragraph A101 if issued, of these operations specifications) provided it has the facilities, material, equipment and technical personnel to perform the work authorized in the following table.

Table	1
Lanc	

Work Authorized	Repair Stations Manual References	Quality Control Manual References
All functions authorized in paragraph A003	Duncan Aviation, Inc. Repair Station General Operating Manual (RSGOM), Section: Repair Station Manual, Chapter: Work Performed Away From Station.	N/A

- b. The certificate holder <u>may not</u> perform <u>continuous</u> operation at a facility other than the station's Fixed Location listed in paragraph A001, and paragraph A101 if issued.
- c. <u>Line Stations</u>. Privileges of a line station, as set forth by the EASA certificate and scope of work and located within the country where the main facility is domiciled are listed in Table 1 are authorized.
- d. Work may be due to a special circumstance or on a recurring basis. If on a recurring basis, the repair station must have procedures in its manual.

**Operations Specifications** 

1. The Certificate Holder applies for the Operations in this paragraph.

2. These Operations Specifications are approved by direction of the Administrator.



Digitally signed by Thomas G Bezanson, Principal Maintenance Inspector (EA63) [1] SUPPORT INFO: Amended to correct the Repair Station Manual Reference in Table 1. [2] EFFECTIVE DATE: 11/10/2016, [3] AMENDMENT #: 6 DATE: 2016.11.10 07:42:54 -06:00

3. I hereby accept and receive the Operations Specifications in this paragraph.

Digitally signed by Jeffrey L King, Chief Inspector, Components/Satellites [1] SUPPORT INFO: Added Limited Instrument Rating DATE: 2016.11.10 08:51:34 -06:00